

Article

# Moving Frames of Reference, Relativity and Invariance in Transfer Entropy and Information Dynamics

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1     **Abstract:** We present a new interpretation of a local framework for information dynamics,  
2     including the transfer entropy, by defining a moving frame of reference for the observer  
3     of dynamics in lattice systems. This formulation is inspired by the idea of investigating  
4     “relativistic” effects on observing the dynamics of information - in particular, we investigate  
5     a Galilean transformation of the lattice system data. In applying this interpretation to  
6     elementary cellular automata, we demonstrate that using a moving frame of reference  
7     certainly alters the observed spatiotemporal measurements of information dynamics, yet still  
8     returns meaningful results in this context. We find that, as expected, an observer will report  
9     coherent spatiotemporal structures that are moving in their frame as information transfer, and  
10    structures that are stationary in their frame as information storage. Crucially, the extent to  
11    which the shifted frame of reference alters the results depends on whether the shift of frame  
12    retains, adds or removes relevant information regarding the source-destination interaction.

13    **Keywords:** information theory; information transfer; information storage; transfer entropy;  
14    information dynamics; cellular automata; complex systems

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## 16 1. Introduction

17 Einstein's theory of relativity postulates that the laws of physics are the same for observers in all  
18 *moving frames of reference* (no frame is preferred) and that the speed of light is the same in all frames  
19 [1]. These postulates can be used to quantitatively describe the differences in measurements of the same  
20 events made by observers in different frames of reference.

21 Information-theoretic measures are always computed with reference to some *observer*. They are  
22 highly dependent on how the observer measures the data, the subtleties of how an observer asks a  
23 question of the data, how the observer attempts to interpret information from the data, and what the  
24 observer already knows [2,3]. We aim to take inspiration from the theory of relativity to explore the  
25 effect of a *moving observer* on information-theoretic measures here. To make such an investigation  
26 however, we need not only an observer for the information measures but specifically:

- 27 1. a *space-time interpretation* for the relevant variables in the system; and
- 28 2. some *frame of reference* for the observer which can be moving in space-time in the system while  
29 the measures are computed.

30 A candidate for such investigations is a recently introduced framework for information dynamics [4–  
31 8], which measures information storage, transfer and modification at each local point in a spatiotemporal  
32 system. This framework has had success in various domains, particularly in application to cellular  
33 automata (CAs), a simple but theoretically important class of discrete dynamical system which is set on  
34 a regular space-time lattice. In application to CAs, the framework has provided quantitative evidence  
35 for long-held conjectures that the *moving* coherent structures known as particles are the dominant  
36 information transfer entities and that collisions between them are information modification events. In  
37 considering the dynamics of information, the framework examines the state updates of each variable in  
38 the system with respect to the past state of that variable. For example, in examining the information  
39 transfer into a destination variable using the *transfer entropy* [9], we consider how much information  
40 was contributed from some source, *in the context of* the past state of that destination. This past state  
41 can be seen as akin to a stationary frame of reference for the measurement. As such, we have the  
42 possibility to use this framework to explore “relativistic” effects on information; i.e. as applied to a  
43 spatiotemporal system such as a CA, with a spatiotemporally moving frame of reference. We begin  
44 our paper by introducing CAs in Section 2, basic information-theoretic quantities in Section 3, and the  
45 measures for information dynamics in Section 4.

46 Our primary concern in this paper then lies in exploring a new interpretation of this framework  
47 for information dynamics by defining and incorporating a moving frame of reference for the observer  
48 (Section 5). The type of relativity presented for application to these lattice systems is akin to an *ether*  
49 relativity, where there is a preferred stationary frame in which information transfer is limited by the  
50 speed of light.<sup>1</sup> We also mathematically investigate the shift of frame to demonstrate the *invariance of*

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<sup>1</sup>We note the existence of a discretized *special* relativity for certain CAs by Smith [10]. For special relativity to be applicable, the CA laws must obey the same rules in all frames of reference. Smith notes the difficulty to find any non-trivial CA rules which meet this requirement, and indeed uses only a simple diffusion process as an example. While in principle we could apply our measures within moving frames of reference in that particular discretization, and intend to do so in future work, we examine only an ether-type of relativity in this study: as this is more naturally applicable to lattice systems.

51 certain information properties. That is, while the total information required to predict a given variable's  
52 value remains the same, shifting the frame of reference redistributes that information amongst the  
53 measurements of information storage and transfer by the observer. The nature of that redistribution  
54 will depend on whether the shift of frame retains, adds or removes relevant information regarding the  
55 source-destination interactions.

56 We perform experiments on elementary cellular automata (ECAs) using the new perspective on  
57 information dynamics with shifted frames of reference in Section 6, comparing the results to those found  
58 in the stationary frame. We find that, as expected, the use of a moving frame of reference has a dramatic  
59 effect on the measurements of information storage and transfer, though the results are well-interpretable  
60 in the context of the shifted frame. In particular, particles only appear as information transfer in frames  
61 in which they are moving, otherwise they appear as information storage.

## 62 2. Dynamics of computation in cellular automata

63 Cellular automata (CAs) have been a particular focus for experimentation with the framework for the  
64 information dynamics measures that we use here. This is because CAs have been used to model a wide  
65 variety of real-world phenomena (see [11]), and have attracted much discussion regarding the nature of  
66 computation in their dynamics.

67 CAs are discrete dynamical systems consisting of an array of cells which each synchronously update  
68 their state as a function of the states of a fixed number of spatially neighboring cells using a uniform rule.  
69 We focus on *Elementary CAs*, or *ECAs*, a simple variety of 1D CAs using binary states, deterministic  
70 rules and one neighbor on either side (i.e. cell range  $r = 1$ ). An example evolution of an ECA may be  
71 seen in Fig. 2(a). For more complete definitions, including that of the Wolfram rule number convention  
72 for describing update rules (used here), see [12].

73 Studies of information dynamics in CAs have focused on their emergent structure: *particles*, *gliders*,  
74 *blinkers* and *domains*. A domain is a set of background configurations in a CA, any of which will update  
75 to another configuration in the set in the absence of any disturbance. Domains are formally defined by  
76 computational mechanics as spatial process languages in the CA [13]. Particles are considered to be  
77 dynamic elements of coherent spatiotemporal structure, as disturbances or in contrast to the background  
78 domain. Gliders are regular particles, blinkers are stationary gliders. Formally, particles are defined by  
79 computational mechanics as a boundary between two domains [13]; as such, they can be referred to as  
80 *domain walls*, though this term is usually reserved for irregular particles. Several techniques exist to  
81 *filter* particles from background domains (e.g. [5–7,13–20]).

82 These emergent structures have been quite important to studies of computation in CAs, for example  
83 in the design or identification of universal computation in CAs (see [11]), and analyses of the dynamics  
84 of intrinsic or other specific computation ([13,21,22]). This is because these studies typically discuss the  
85 computation in terms of the three primitive functions of computation and their apparent analogues in CA  
86 dynamics [11,21]:

- 87 • blinkers as the basis of information storage, since they periodically repeat at a fixed location;
- 88 • particles as the basis of information transfer, since they communicate information about the  
89 dynamics of one spatial part of the CA to another part; and

- collisions between these structures as information modification, since collision events combine and modify the local dynamical structures.

Previous to recent work however [4–7] (as discussed in Section 4), these analogies remained conjecture only.

### 3. Information-theoretic quantities

To quantify these dynamic functions of computation, we look to information theory (e.g. see [2, 3]) which has proven to be a useful framework for the design and analysis of complex self-organized systems, e.g. [23–27]. In this section, we give a brief overview of the fundamental quantities which will be built on in the following sections.

The *Shannon entropy* represents the uncertainty associated with any measurement  $x$  of a random variable  $X$  (logarithms are in base 2, giving units in bits):  $H(X) = -\sum_x p(x) \log p(x)$ . The *joint entropy* of two random variables  $X$  and  $Y$  is a generalization to quantify the uncertainty of their joint distribution:  $H(X, Y) = -\sum_{x,y} p(x, y) \log p(x, y)$ . The *conditional entropy* of  $X$  given  $Y$  is the average uncertainty that remains about  $x$  when  $y$  is known:  $H(X|Y) = -\sum_{x,y} p(x, y) \log p(x|y)$ . The *mutual information* between  $X$  and  $Y$  measures the average reduction in uncertainty about  $x$  that results from learning the value of  $y$ , or vice versa:  $I(X; Y) = H(X) - H(X|Y)$ . The *conditional mutual information* between  $X$  and  $Y$  given  $Z$  is the mutual information between  $X$  and  $Y$  when  $Z$  is known:  $I(X; Y|Z) = H(X|Z) - H(X|Y, Z)$ .

Moving to *dynamic* measures of information in time-series processes  $X$ , the *entropy rate* is the limiting value of the average entropy of the next realizations  $x_{n+1}$  of  $X$  conditioned on the realizations  $x_n^{(k)} = \{x_{n-k+1}, \dots, x_{n-1}, x_n\}$  of the previous  $k$  values  $X^{(k)}$  of  $X$  (up to and including time step  $n$ ):

$$H_\mu = \lim_{k \rightarrow \infty} H[X|X^{(k)}] = \lim_{k \rightarrow \infty} H_\mu(k). \quad (1)$$

Finally, the *effective measure complexity* [28] or *excess entropy* [23] quantifies the total amount of structure or memory in a system, and is computed in terms of the slowness of the approach of the entropy rate estimates to their limiting value (see [23]). For our purposes, it is best formulated as the mutual information between the semi-infinite past and semi-infinite future of the process:

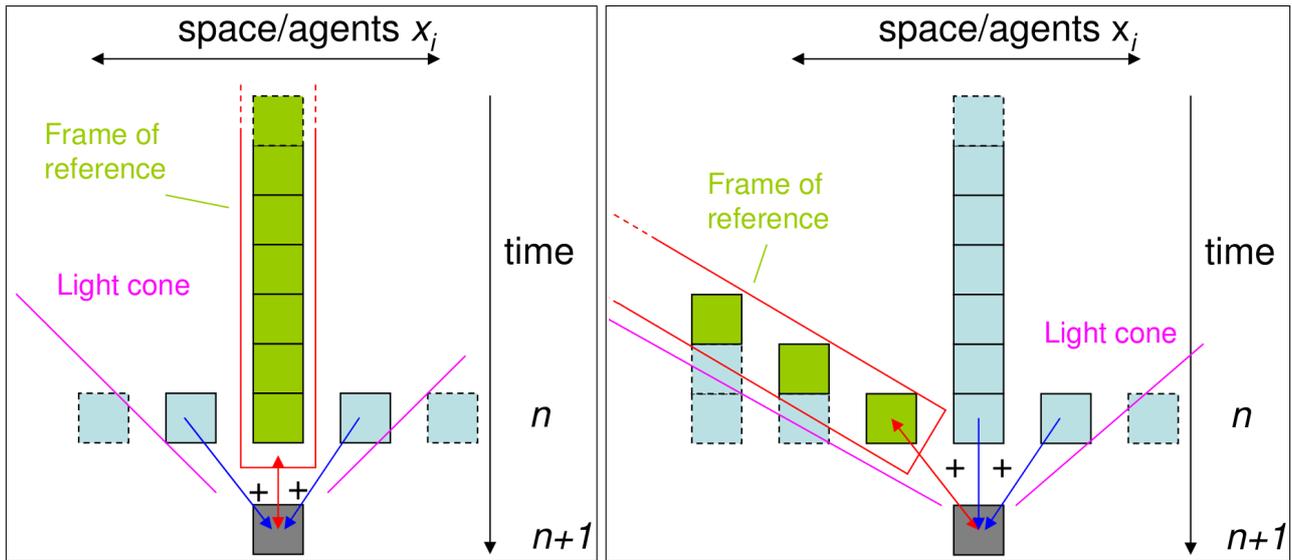
$$E = \lim_{k \rightarrow \infty} I[X^{(k)}; X^{(k+)}], \quad (2)$$

where  $X^{(k+)}$  refers to the next  $k$  states with realizations  $x^{(k+)} = \{x_{n+1}, x_{n+2}, \dots, x_{n+k}\}$ . This interpretation is known as the *predictive information* [29], as it highlights that the excess entropy captures the information in a system's past which can also be found in its future.

### 4. Framework for information dynamics

A local framework for information dynamics has recently been introduced in [4–8]. This framework examines the information composition of the next value  $x_{n+1}$  of a destination variable, in terms of how much of that information came from the past state of that variable (*information storage*), how much came from respective source variables (*information transfer*), and how those information sources

**Figure 1.** Local information dynamics for a lattice system with speed of light  $c = 1$  unit per time step: a. (left) with stationary frame of reference ( $f = 0$ ); b. (right) with moving frame of reference  $f = 1$  (i.e. at one cell to the right per unit time step). Red double-headed arrow represents active information storage  $a(i, n + 1, f)$  from the frame of reference; the blue single-headed arrow represent transfer entropy  $t(i, j, n + 1, f)$  from each source orthogonal to the frame of reference. Note that the frame of reference in the figures is the path of the moving observer through space-time.



123 were combined (*information modification*). The measures of the framework provide *information profiles*  
 124 quantifying each element of computation at each spatiotemporal point in a complex system.

125 In this section, we describe the information storage and transfer components of the framework (the  
 126 information modification component is not studied here; it may be seen in [6]). We also review example  
 127 profiles of these information dynamics in ECA rule 54 (see raw states in Fig. 2(a)). ECA rule 54 is  
 128 considered a class IV complex rule, contains simple glider structures and collisions, and is therefore  
 129 quite useful in illustrating the concepts around information dynamics.

#### 130 4.1. Information storage

131 We define *information storage* as the amount of information from the past of a process that is relevant  
 132 to or will be used at some point in its future. The *statistical complexity* [30] measures the amount of  
 133 information in the past of a process that is *relevant* to the prediction of its future states. It is known  
 134 that the statistical complexity  $C_{\mu X}$  provides an upper bound to the excess entropy [31]; i.e.  $E_X \leq C_{\mu X}$ .  
 135 This can be interpreted in that the statistical complexity measures *all* information stored by the system  
 136 which *may be used* in the future, the excess entropy only measures that information which *is used* by the  
 137 system *at some point* in the future. Of course, this means that the excess entropy measures information  
 138 storage that will possibly but not necessarily be used at the next time step  $n + 1$ . When focusing on the  
 139 *dynamics* of information processing, we are particularly interested in how much of the stored information  
 140 is actually *in use* at the next time step, so as to be examined in conjunction with information transfer.

As such, the *active information storage*  $A_X$  was introduced [7] to explicitly measure how much of the information from the past of the process is observed to be *in use* in computing its next state. The active information storage is the average mutual information between realizations  $x_n^{(k)}$  of the past state  $X^{(k)}$  (as  $k \rightarrow \infty$ ) and the corresponding realizations  $x_{n+1}$  of the *next value*  $X'$  of a given time series  $X$ :

$$A_X = \lim_{k \rightarrow \infty} A_X(k), \quad (3)$$

$$A_X(k) = I [X^{(k)}; X']. \quad (4)$$

141 We note that the limit  $k$  is required in general, unless the next value  $x_{n+1}$  is conditionally independent  
142 of the far past values  $x_{n-k}^{(\infty)}$  given  $x_n^{(k)}$ .

We can then extract the *local active information storage*  $a_X(n+1)$  [7] as the amount of information storage attributed to the specific configuration or realization  $(x_n^{(k)}, x_{n+1})$  at time step  $n+1$ ; i.e. the amount of information storage in use by the process at the particular time-step  $n+1$ :<sup>2</sup>

$$A_X = \langle a_X(n+1) \rangle_n, \quad (5)$$

$$a_X(n+1) = \lim_{k \rightarrow \infty} a_X(n+1, k), \quad (6)$$

$$A_X(k) = \langle a_X(n+1, k) \rangle_n, \quad (7)$$

$$a_X(n+1, k) = \log_2 \frac{p(x_n^{(k)}, x_{n+1})}{p(x_n^{(k)})p(x_{n+1})}, \quad (8)$$

$$= i(x_n^{(k)}; x_{n+1}), \quad (9)$$

143 By convention, we use lower case labels for the local values of information-theoretic quantities. Note  
144 that  $A_X(k)$  and  $a(i, n+1, k)$  represent finite  $k$  estimates.

Where the process of interest exists for cells on a lattice structure, we include the index  $i$  to identify the variable of interest. This gives the following notation for local active information storage  $a(i, n+1)$  in a spatiotemporal system:

$$a(i, n+1) = \lim_{k \rightarrow \infty} a(i, n+1, k), \quad (10)$$

$$a(i, n+1, k) = \log_2 \frac{p(x_{i,n}^{(k)}, x_{i,n+1})}{p(x_{i,n}^{(k)})p(x_{i,n+1})}. \quad (11)$$

We note that the local active information storage is defined for every spatiotemporal point  $(i, n)$  in the lattice system. We have  $A(i, k) = \langle a(i, n, k) \rangle_n$  as the average for variable  $i$ . For stationary systems of homogeneous variables where the probability distribution functions are estimated over all variables, it is appropriate to average over all variables also, giving:

$$A(k) = \langle a(i, n, k) \rangle_{i,n}. \quad (12)$$

145 Fig. 1(a) shows the local active information as this mutual information between the destination cell  
146 and its past history. Importantly,  $a(i, n, k)$  may be positive or negative, meaning the past history of

<sup>2</sup>Descriptions of the manner in which local information-theoretical measures are obtained from averaged measures may be found in [5,31].

147 the cell can either positively inform us or actually *misinform* us about it's next state. An observer  
 148 is misinformed where, conditioned on the past history the observed outcome was *relatively* unlikely  
 149 as compared to the unconditioned probability of that outcome (i.e.  $p(x_{n+1}|x_n^{(k)}) < p(x_{n+1})$ ). In  
 150 deterministic systems (e.g. CAs), negative local active information storage means that there must be  
 151 strong information transfer from other causal sources.

152 As reported in [7], and shown in the sample application to rule 54 in Fig. 2(b), when applied to CAs the  
 153 local active information storage identifies strong positive values in the domain and in blinkers (vertical  
 154 gliders). For each of these entities, the next state is effectively predictable from the destination's past.  
 155 This was the first direct quantitative evidence that blinkers and domains were the dominant information  
 156 storage entities in CAs. Interestingly for rule 54, the amount of predictability from the past (i.e. the  
 157 active information storage) is roughly the same for both the blinkers and the background domain (see  
 158 further discussion in [7]). Furthermore, negative values are typically measured at (the leading edge of)  
 159 traveling gliders, because the past of the destination (being in the regular domain) would predict domain  
 160 continuation, which is misinformative when the glider is encountered.

#### 161 4.2. Information transfer

Information transfer is defined as the amount of information that a source provides about a  
 destination's next state that was not contained in the destination's past. This definition pertains to  
 Schreiber's transfer entropy measure [9] (which we will call the *apparent* transfer entropy, as discussed  
 later). The transfer entropy captures the average mutual information from realizations  $y_n^{(l)}$  of the state  
 $Y^{(l)}$  of a source  $Y$  to the corresponding realizations of  $x_{n+1}$  of the next value  $X'$  of the destination  $X$ ,  
 conditioned on realizations  $x_n^{(k)}$  of the previous state  $X^{(k)}$ :

$$T_{Y \rightarrow X}(k, l) = I [Y^{(l)}; X' | X^{(k)}]. \quad (13)$$

162 Schreiber emphasized that, unlike the (unconditioned) time-differenced mutual information, the transfer  
 163 entropy was a properly directed, dynamic measure of information transfer rather than shared information.

In general, one should take the limit as  $k \rightarrow \infty$  in order to properly represent the previous state  
 $X^{(k)}$  as relevant to the relationship between the next value  $X'$  and the source  $Y$  [5]. Note that  $k$  can be  
 limited here where the next value  $x_{n+1}$  is conditionally independent of the far past values  $x_{n-k}^{(\infty)}$  given  
 $(x_n^{(k)}, y_n)$ . One than then interpret the transfer entropy as properly representing information transfer  
 [5,32]. Empirically of course one is restricted to finite- $k$  estimates  $T_{Y \rightarrow X}(k, l)$ . Furthermore, where only  
 the previous value  $y_n$  of  $Y$  is a direct causal contributor to  $x_{n+1}$ , it is appropriate to use  $l = 1$  [5,32]. So  
 for our purposes, we write:

$$T_{Y \rightarrow X} = \lim_{k \rightarrow \infty} T_{Y \rightarrow X}(k), \quad (14)$$

$$T_{Y \rightarrow X}(k) = I [Y; X' | X^{(k)}]. \quad (15)$$

We can then extract the *local transfer entropy*  $t_{Y \rightarrow X}(n+1)$  [5] as the amount of information transfer attributed to the specific configuration or realization  $(x_{n+1}, x_n^{(k)}, y_n)$  at time step  $n+1$ ; i.e. the amount of information transferred from  $Y$  to  $X$  at time step  $n+1$ :

$$T_{Y \rightarrow X} = \langle t_{Y \rightarrow X}(n+1) \rangle, \quad (16)$$

$$t_{Y \rightarrow X}(n+1) = \lim_{k \rightarrow \infty} t_{Y \rightarrow X}(n+1, k), \quad (17)$$

$$T_{Y \rightarrow X}(k) = \langle t_{Y \rightarrow X}(n+1, k) \rangle, \quad (18)$$

$$t_{Y \rightarrow X}(n+1, k) = \log_2 \frac{p(x_{n+1} | x_n^{(k)}, y_n)}{p(x_{n+1} | x_n^{(k)})}, \quad (19)$$

$$= i(y_n; x_{n+1} | x_n^{(k)}). \quad (20)$$

Again, where the processes  $Y$  and  $X$  exist on cells on a lattice system, we denote  $i$  as the index of the destination variable  $X_i$  and  $i-j$  as the source variable  $X_{i-j}$ , such that we consider the local transfer entropy *across*  $j$  cells in:

$$t(i, j, n+1) = \lim_{k \rightarrow \infty} t(i, j, n+1, k), \quad (21)$$

$$t(i, j, n+1, k) = \log \frac{p(x_{i,n+1} | x_{i,n}^{(k)}, x_{i-j,n}^{(k)})}{p(x_{i,n+1} | x_{i,n}^{(k)})}. \quad (22)$$

164 The local transfer entropy is defined for every channel  $j$  for the given destination  $i$ , but for proper  
 165 interpretation as information transfer  $j$  is constrained among causal information contributors to the  
 166 destination [32] (i.e. within the past *light cone* [33]). For CAs for example we have  $|j| \leq r$ , being  
 167  $|j| \leq 1$  for ECAs as shown in Fig. 1(a).

We have  $T(i, j, k) = \langle t(i, j, n, k) \rangle_n$  as the average transfer from variable  $i-j$  to variable  $i$ . For systems of homogeneous variables where the probability distribution functions for transfer across  $j$  cells are estimated over all variables, it is appropriate to average over all variables also, giving:

$$T(j, k) = \langle t(i, j, n, k) \rangle_{i,n}. \quad (23)$$

168 Importantly, the information conditioned on by the transfer entropy (i.e. that contained in the  
 169 destination's past about its next state) is that provided by the local active information storage.<sup>3</sup> Also, the  
 170 *local* transfer entropy may also be positive or negative. As reported in [5] it is typically strongly positive  
 171 when measured at a glider in the same direction  $j$  as the macroscopic motion of the glider (see the sample  
 172 application to rule 54 in Fig. 2(c)). Negative values imply that the source *misinforms* an observer about  
 173 the next state of the destination in the context of the destination's past. Negative values are typically  
 174 only found at gliders for measurements in the orthogonal direction to macroscopic glider motion (see  
 175 the right moving gliders in Fig. 2(c)); at these points, the source (still part of the domain) would suggest  
 176 that the domain pattern in the destination's past would continue, which is misinformative. Small positive

<sup>3</sup>Note however that a conditional mutual information may be either larger or smaller than the corresponding unconditioned mutual information [3]; the conditioning removes information redundantly held by the source and the conditioned variable, but also includes synergistic information which can only be decoded with knowledge of both the source and conditioned variable [34].

177 non-zero values are also often measured in the domain and in the orthogonal direction to glider motion  
 178 (see Fig. 2(c)). These correctly indicate non-trivial information transfer in these regions (e.g. indicating  
 179 the *absence* of a glider), though they are dominated by the positive transfer in the direction of glider  
 180 motion. These results for local transfer entropy provided the first quantitative evidence for the long-held  
 181 conjecture that particles are the information transfer agents in CAs.

We note that the transfer entropy can also be conditioned on other possible causal contributors  $Z$  in order to account for their effects on the destination. We introduced the *conditional* transfer entropy for this purpose [5,6]:

$$T_{Y \rightarrow X|Z} = \lim_{k \rightarrow \infty} T_{Y \rightarrow X|Z}(k), \quad (24)$$

$$T_{Y \rightarrow X|Z}(k) = I[Y; X' | X^{(k)}, Z], \quad (25)$$

$$T_{Y \rightarrow X|Z}(k) = \langle t_{Y \rightarrow X|Z}(n+1, k) \rangle, \quad (26)$$

$$t_{Y \rightarrow X|Z}(n+1, k) = \log_2 \frac{p(x_{n+1} | x_n^{(k)}, y_n, z_n)}{p(x_{n+1} | x_n^{(k)}, z_n)}, \quad (27)$$

$$= i(y_n; x_{n+1} | x_n^{(k)}, z_n). \quad (28)$$

182 This extra conditioning can exclude the (redundant) influence of a common drive  $Z$  from being attributed  
 183 to  $Y$ , and can also include the synergistic contribution when the source  $Y$  acts in conjunction with another  
 184 source  $Z$  (e.g. where  $X$  is the outcome of an XOR operation on  $Y$  and  $Z$ ).

We specifically refer to the conditional transfer entropy as the *complete transfer entropy* (with notation  $T_{Y \rightarrow X}^c(k)$  and  $t_{Y \rightarrow X}^c(n+1, k)$  for example) when it conditions on all other causal sources  $Z$  to the destination  $X$  [5]. For CAs, this means conditioning on the only other causal contributor to the destination. For example, for the  $j = 1$  channel, we can write

$$t^c(i, j = 1, n+1) = \lim_{k \rightarrow \infty} t^c(i, j = 1, n+1, k), \quad (29)$$

$$t^c(i, j = 1, n+1, k) = \log \frac{p(x_{i,n+1} | x_{i,n}^{(k)}, x_{i-1,n}, x_{i+1,n})}{p(x_{i,n+1} | x_{i,n}^{(k)}, x_{i+1,n})}, \quad (30)$$

185 with  $T^c(j, k)$  for the spatiotemporal average in homogeneous, stationary systems. To differentiate the  
 186 conditional and complete transfer entropies from the original measure, we often refer to  $T_{Y \rightarrow X}$  simply as  
 187 the *apparent* transfer entropy [5] - this nomenclature conveys that the result is the information transfer  
 188 that is apparent without accounting for other sources.

189 We note that the results for  $t^c(i, j, n+1, k)$  are largely the same as for  $t(i, j, n+1, k)$  (e.g. compare  
 190 Fig. 2(d) with Fig. 2(c) for rule 54), with some subtle differences. These results are discussed in detail in  
 191 [5]. First, in deterministic systems such as CAs,  $t^c(i, j, n+1, k)$  cannot be negative since by accounting  
 192 for all causal sources (and without noise) there is no way that our source can misinform us about the next  
 193 state of the destination. Also, the strong transfer measured in gliders moving in the macroscopic direction  
 194 of the measured channel  $j$  are slightly stronger with  $t^c(i, j, n+1, k)$ . This is because, by accounting for  
 195 the other causal source, we can be sure that there is no other incoming glider to disturb this one, and thus  
 196 attribute more influence to the source of the ongoing glider here. Other scenarios regarding synergistic  
 197 interactions in other rules are discussed in [5].

## 198 5. Information dynamics for a moving observer

199 In this section, we consider how these measures of information dynamics would change for a moving  
 200 observer. First, we consider the meaning of the past state  $x_n^{(k)}$  in these measures, and how it can be  
 201 interpreted as a frame of reference. We then provide a formulation to interpret these measures for an  
 202 observer with a moving frame of reference. We consider what aspects of the dynamics would remain  
 203 invariant, and finally consider what differences we may expect to see from measures of information  
 204 dynamics by moving observers.

### 205 5.1. Meaning of the use of the past state

206 Realizations  $x_n^{(k)}$  of the past state  $X^{(k)}$  of the destination variable  $X$  play a very important role in the  
 207 measures of information dynamics presented above. We see that the active information storage directly  
 208 considers the amount of information contained in  $x_n^{(k)}$  about the next value  $x_{n+1}$  of  $X$ , while the transfer  
 209 entropy considers how much information the source variable adds to this next value conditioned on  $x_n^{(k)}$ .

210 The role of the past state  $x_n^{(k)}$  can be understood from three complementary perspectives here:

- 211 1. To *separate* information storage and transfer. As described above, we know that  $x_n^{(k)}$  provides  
 212 information storage for use in computation of the next value  $x_{n+1}$ . The conditioning on the past  
 213 state in the transfer entropy ensures that none of that information storage is counted as information  
 214 transfer (where the source and past hold some information redundantly) [5,6].
- 215 2. To capture the *state transition* of the destination variable. We note that Schreiber’s original  
 216 description of the transfer entropy [9] can be rephrased as the information provided by the source  
 217 about the state transition in the destination. That  $x_n^{(k)} \rightarrow x_{n+1}$  (or including redundant information  
 218  $x_n^{(k)} \rightarrow x_{n+1}^{(k)}$ ) is a *state transition* is underlined in that the  $x_n^{(k)}$  are *embedding vectors* [35], which  
 219 capture the underlying *state* of the process.
- 220 3. To examine the information composition of the next value  $x_{n+1}$  of the destination *in the context*  
 221 *of* the past state  $x_n^{(k)}$  of the destination. With regard to the transfer entropy, we often describe  
 222 the conditional mutual information as “conditioning out” the information contained in  $x_n^{(k)}$ , but  
 223 this nomenclature can be slightly misleading. This is because, as pointed out in footnote 3, a  
 224 conditional mutual information can be larger or smaller than the corresponding unconditioned  
 225 form, since the conditioning both removes information redundantly held by the source variable  
 226 and the conditioned variable (e.g. if the source is a copy of the conditioned variable) and adds  
 227 information synergistically provided by the source and conditioned variables together (e.g. if  
 228 the destination is an XOR-operation of these variables). As such, it is perhaps more useful to  
 229 describe the conditioned variable as providing context to the measure, rather than “conditioning  
 230 out” information. Here then, we can consider the past state  $x_n^{(k)}$  as providing context to our analysis  
 231 of the information composition of the next value  $x_{n+1}$ .

232 Note that we need  $k \rightarrow \infty$  to properly capture each perspective here (see discussion in Section 4.1  
 233 and Section 4.2 regarding conditions where finite- $k$  is satisfactory).

234 Importantly, we note that the final perspective of  $x_n^{(k)}$  as providing context to our analysis of the  
 235 information composition of the computation of the next state can also be viewed as a “frame of reference”  
 236 for the analysis.

### 237 5.2. Information dynamics with a moving frame of reference

238 Having established the perspective of  $x_n^{(k)}$  as providing a frame of reference for our analysis, we now  
 239 examine how the measures of our framework are altered if we consider a moving frame of reference for  
 240 our observer in lattice systems.

It is relatively straightforward to define a frame of reference for an observer moving at  $f$  cells per unit time towards the destination cell  $x_{i,n+1}$ . Our measures consider the set of  $k$  cells backwards in time from  $x_{i,n+1}$  at  $-f$  cells per time step:

$$x_{i-f,n}^{(k,f)} = \{x_{i-(q+1)f,n-q} \mid 0 \leq q < k\} \quad (31)$$

$$= \{x_{i-kf,n-k+1}, \dots, x_{i-2f,n-1}, x_{i-f,n}\} \quad (32)$$

241 Notice that  $x_{i,n}^{(k)} = x_{i-0,n}^{(k,0)}$  with  $f = 0$ , as it should.

We can then define measures for each of the information dynamics in this new frame of reference  $f$ . As shown with the double headed arrow in Fig. 1(b), the local active information in this frame becomes the local mutual information between the observer’s frame of reference  $x_{i-f,n}^{(k,f)}$  and the next state of the destination cell  $x_{i,n+1}$ ; mathematically this is represented by:

$$a(i, n + 1, f) = \lim_{k \rightarrow \infty} a(i, n + 1, k, f), \quad (33)$$

$$a(i, n + 1, k, f) = \log \frac{p(x_{i-f,n}^{(k,f)}, x_{i,n+1})}{p(x_{i-f,n}^{(k,f)})p(x_{i,n+1})}. \quad (34)$$

242 Crucially,  $a(i, n + 1, k, f)$  is still a measure of local information storage for the moving observer: it  
 243 measures how much information is contained in the past of their frame of reference about the next state  
 244 that appears in their frame. The observer, and the shifted measure itself, is oblivious to the fact that these  
 245 observations are in fact taken over different variables. Finally, we write  $A(k, f) = \langle a(i, n + 1, k, f) \rangle_{i,n}$   
 246 as the average of finite- $k$  estimates over all space-time points  $(i, n)$  in the lattice, for stationary  
 247 homogeneous systems.

As shown by directed arrows in Fig. 1(b), the local transfer entropy becomes the local conditional mutual information between the source cell  $x_{i-j,n}$  and the destination  $x_{i,n+1}$ , conditioned on the moving frame of reference  $x_{i-f,n}^{(k,f)}$ :

$$t(i, j, n + 1, f) = \lim_{k \rightarrow \infty} t(i, j, n + 1, k, f), \quad (35)$$

$$t(i, j, n + 1, k, f) = \log \frac{p(x_{i,n+1} \mid x_{i-f,n}^{(k,f)}, x_{i-j,n})}{p(x_{i,n+1} \mid x_{i-f,n}^{(k,f)})}. \quad (36)$$

248 The set of sensible values to use for  $j$  remains those within the light-cone (i.e. those which represent  
 249 causal information sources to the destination variable) - otherwise we only measure correlations rather  
 250 than information transfer. That said, we also do not consider the transfer entropy for the channel  $j = f$

251 here, since this source is accounted for by the local active information. Of course, we can now also  
 252 consider  $j = 0$  for moving frames  $f \neq 0$ . Writing the local complete transfer entropy  $t^c(i, j, n + 1, k, f)$   
 253 for the moving frame trivially involves adding conditioning on the remaining causal source (that which  
 254 is not the source  $x_{i-j, n}$  itself, nor the source  $x_{i-f, n}$  in the frame) to Eq. (36).

255 Again,  $t(i, j, n + 1, f)$  is still interpretable as a measure of local information transfer for the moving  
 256 observer: it measures how much information was provided by the source cell about the state transition  
 257 of the observer's frame of reference. The observer is oblivious to the fact that the states in its frame of  
 258 reference are composed of observations taken over different variables.

259 Also, note that while  $t(i, j, n + 1, f)$  describes the transfer across  $j$  cells in a stationary frame as  
 260 observed in a frame moving at speed  $f$ , we could equally express it as the transfer observed across  $j - f$   
 261 cells in the frame  $f$ .

262 Finally, we write  $T(j, k, f) = \langle t(i, j, n + 1, k, f) \rangle_{i, n}$  as the average of finite- $k$  estimates over all  
 263 space-time points  $(i, n)$  in the lattice, for stationary homogeneous systems.

264 In the next two subsections, we describe what aspects of the information dynamics remain invariant,  
 265 and how we can expect the measures to change, with a moving frame of reference.

### 266 5.3. Invariance

This formulation suggests the question of why we consider the same set of information sources  $j$   
 in the moving and stationary frames (i.e. those within the light-cone), rather than say a symmetric set  
 of sources around the frame of reference (as per a stationary frame). To examine this, consider the  
 local (single-site) entropy  $h(i, n + 1) = \log p(x_{i, n+1})$  as a sum of incrementally conditioned mutual  
 information terms as presented in [6]. For ECAs (a deterministic system), in the stationary frame of  
 reference, this sum is written as:

$$h(i, n + 1) = i(x_{i, n}^{(k)}; x_{i, n+1}) + i(x_{i-j, n}; x_{i, n+1} | x_{i, n}^{(k)}) \\ + i(x_{i+j, n}; x_{i, n+1} | x_{i, n}^{(k)}, x_{i-j, n}), \quad (37)$$

$$h(i, n + 1) = a(i, n + 1, k) + t(i, j, n + 1, k) \\ + t^c(i, -j, n + 1, k), \quad (38)$$

267 with either  $j = 1$  or  $j = -1$ . Since  $h(i, n + 1)$  represents the information required to predict the state  
 268 at site  $(i, n + 1)$ , Eq. (37) shows that one can obtain this by considering the information contained in the  
 269 past of the destination, then the information contributed through channel  $j$  that was not in this past, then  
 270 that contributed through channel  $-j$  which was not in this past or the channel  $j$ . The first term here is the  
 271 active information storage, the first local conditional mutual information term here is a transfer entropy,  
 272 the second is a complete transfer entropy. Considering any sources in addition to or instead of these will  
 273 only return correlations to the information provided by these entities.

274 Note that there is no need to take the limit  $k \rightarrow \infty$  for the correctness of Eq. (37) (unless one wishes  
 275 to properly interpret the terms as information storage and transfer). In fact, the sum of incrementally  
 276 conditional mutual information terms in Eq. (37) is *invariant* as long as all terms use the same context.  
 277 We can also consider a moving *frame of reference* as this context and so construct this sum for a moving

278 frame of reference  $f$ . Note that the choice of  $f$  determines which values to use for  $j$ , so we write an  
 279 example with  $f = 1$ :

$$h(i, n + 1) = a(i, n + 1, k, f = 1) + t(i, j = 0, n + 1, k, f = 1) + t^c(i, j = -1, n + 1, k, f = 1). \quad (39)$$

280 Obviously this is true because the set of causal information contributors is invariant, and we are merely  
 281 considering the same causal sources but in a different context. Eq. (39) demonstrates that prediction of  
 282 the next state for a given cell in a moving frame of reference depends on the same causal information  
 283 contributors. Considering the local transfer entropy from sources outside the light cone instead may be  
 284 insufficient to predict the next state [32].

285 Choosing the frame of reference here merely sets the context for the information measures, and  
 286 redistributes the attribution of the invariant amount of information in the next value  $x_{i,n+1}$  between  
 287 the various storage and transfer sources. This could be understood in terms of the different context  
 288 redistributing the information atoms in a *partial information diagram* (see [34]) of the sources to the  
 289 destination.

290 Note that we examine a type of *ether* relativity for local information dynamics. That is to say, there is  
 291 a preferred stationary frame of reference  $f = 0$  in which the velocity for information is bounded by the  
 292 speed of light  $c$ . The stationary frame of reference is preferred because it is the *only* frame which has an  
 293 even distribution of causal information sources on either side, while other frames observe an asymmetric  
 294 distribution of causal information sources. It is also the only frame of reference which truly represents  
 295 the information storage in the causal variables. As pointed out in footnote 1, we do not consider a type of  
 296 relativity where the rules of physics (i.e. CA rules) are invariant, remaining observationally symmetric  
 297 around the frame of reference.

#### 298 5.4. Hypotheses and expectations

299 In general, we expect the measures  $a(i, n, k, f)$  and  $t(i, j, n, k, f)$  to be different from the  
 300 corresponding measurements in a stationary frame of reference. Obviously, this is because the frames  
 301 of reference  $x_{i-f,n}^{(k,f)}$  provide in general different contexts for the measurements. As exceptional cases  
 302 however, the measurements would not change if:

- 303 • The two contexts or frames of reference in fact provide the same information redundantly about  
 304 the next state (and in conjunction with the sources for transfer entropy measurements).
- 305 • Neither context provides any relevant information about the next state at all.

306 Despite such differences to the standard measurements, as described in Section 5.2 the measurements  
 307 in a moving frame of reference are still interpretable as information storage and transfer for the moving  
 308 observer, and still provide relevant insights into the dynamics of the system.

309 In the next section, we will examine spatiotemporal information profiles of CAs, as measured by a  
 310 moving observer. We hypothesize that in a moving frame of reference  $f$ , we shall observe:

- 311 • Regular background domains appearing as information storage regardless of movement of the  
 312 frame of reference, since their spatiotemporal structure renders them predictable in both moving

and stationary frames. In this case, both the stationary and moving frames would *retain* the same information redundantly regarding how their spatiotemporal pattern evolves to give the next value of the destination in the domain;

- Gliders moving at the speed of the frame appearing as information storage in the frame, since the observer will find a large amount of information in their past observations which predict the next state observed. In this case, the shift of frame incorporates different information into the new frame of reference, making that *added* information appear as information storage;
- Gliders which were stationary in the stationary frame appearing as information transfer in the channel  $j = 0$  when viewed in moving frames, since the  $j = 0$  source will add a large amount of information for the observer regarding the next state they observe. In this case, the shift of frame of reference *removes* relevant information from the new frame of reference, allowing scope for the  $j = 0$  source to add information about the next observed state.

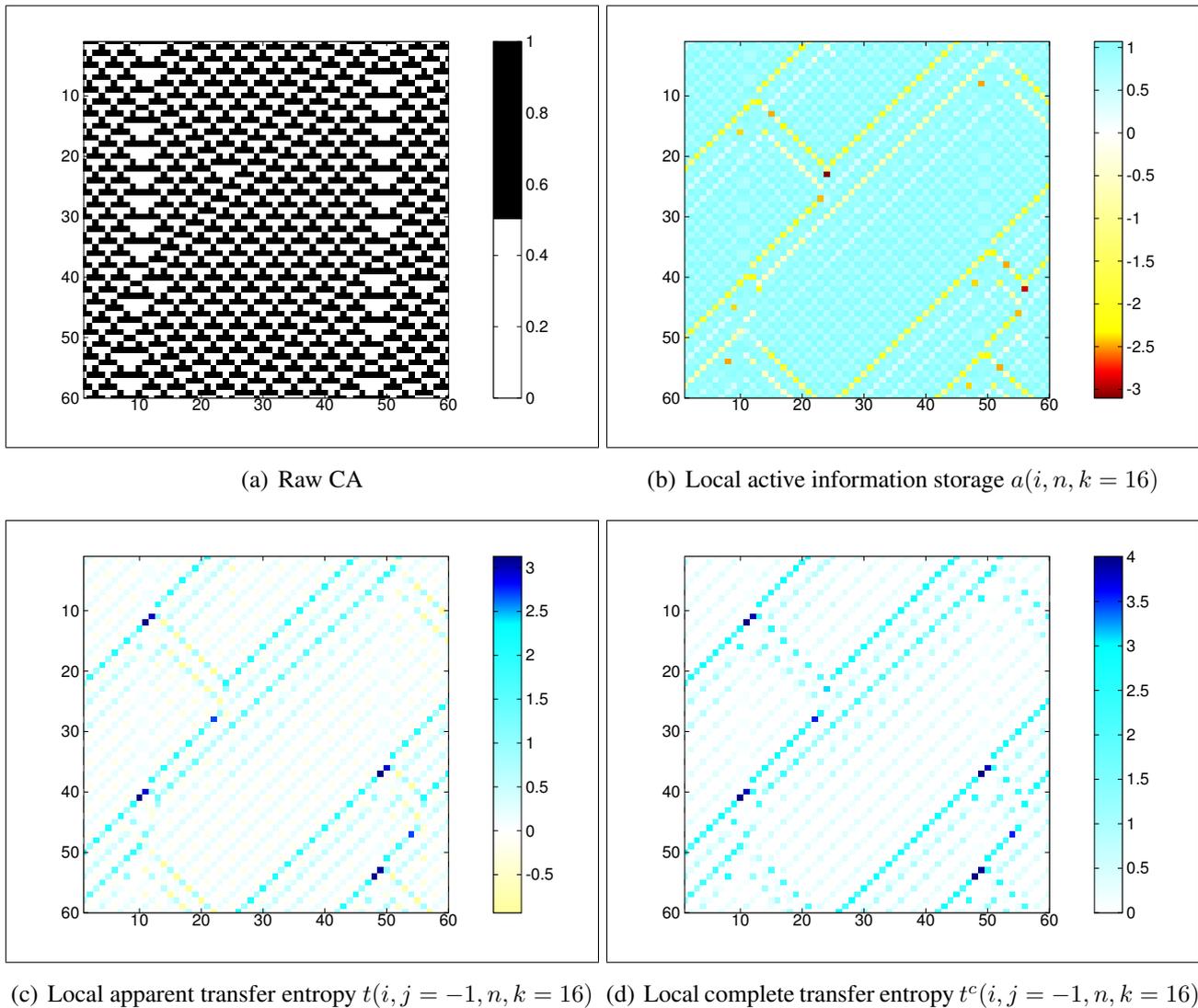
## 6. Results and discussion

To investigate the local information dynamics in a moving frame of reference, we study ECA rule 54 here with a frame of reference moving at  $f = 1$  (i.e. one step to the right per unit time). Our experiments used 10000 cells initialized in random states, with 600 time steps captured for estimation of the probability distribution functions (similar settings used in introducing the local information dynamics in [5–7]). We fixed  $k = 16$  for our measures (since the periodic background domain for ECA rule 54 has a period of 4, this captures an adequate amount of history to properly separate information storage and transfer as discussed in [5]). We measure the local information dynamics measures in both the stationary frame of reference (Fig. 2) and the moving frame of reference  $f = 1$  (Fig. 3). The results were produced using the “Java Information Dynamics Toolkit” [36], and can be reproduced using the Matlab/Octave script `movingFrame.m` in the `demos/octave/CellularAutomata` example distributed with this toolkit.

We first observe that the background domain is captured as a strong information storage process irrespective of whether the frame of reference is moving (with  $a(i, n, k = 16, f = 1)$ , Fig. 3(b)) or stationary (with  $a(i, n, k = 16, f = 0)$ , Fig. 2(b)). That is to say that the frame of reference is strongly predictive of the next state in the domain, regardless of whether the observer is stationary or moving. This is as expected, because the background domain is not only temporally periodic, but *spatiotemporally* periodic, and the moving frame provides much redundant information with the stationary frame about the next observed state.

While it is not clear from the local profiles however, the average active information storage is significantly lower for the moving frame than the stationary frame ( $A(i, n, k = 16, f = 1) = 0.468$  bits versus  $A(i, n, k = 16, f = 0) = 0.721$  bits). At first glance, this seems strange since the background domain is dominated by information storage, and the observer in both frames should be able to adequately detect the periodic domain process. On closer inspection though, we can see that the storage process in the domain is significantly more disturbed by glider incidence in the moving frame, with a larger number and magnitude of negative local values encountered, and more time for the local

**Figure 2.** Measures of information dynamics applied to ECA Rule 54 with a stationary frame of reference (all units in (b)-(d) are in bits).



351 values to recover to their usual levels in the domain. This suggests that the information in the moving  
 352 frame is not fully redundant with the stationary frame, which could be explained in that the stationary  
 353 frame (being centred in the light cone) is better able to retain information about the surrounding dynamics  
 354 which could influence the next state. The moving frame (moving at the speed of light itself) is not able  
 355 to contain any information regarding incoming dynamics from neighboring cells. Thus, in the moving  
 356 frame, more of the (invariant) information in the next observed state is distributed amongst the transfer  
 357 sources.

358 As expected also, we note that gliders which are moving at the same speed as the frame of reference  
 359  $f = 1$  are now considered as information storage in that frame. That is, the right moving gliders  
 360 previously visible as misinformative storage in Fig. 2(b) now blend in with the background information  
 361 storage process in the moving frame in Fig. 3(b). As previously discussed, this is because the moving  
 362 frame brings new information for the observer about these gliders into the frame of reference.

363 Fig. 3(b) also shows that it is only gliders moving in orthogonal directions to the frame  $f = 1$   
364 (including blinkers, which were formerly considered stationary) which contain negative local active  
365 information storage, and are therefore information transfer processes in this frame. Again, this is as  
366 expected, since these gliders contribute new information about the observed state in the context of the  
367 frame of reference. For gliders which now become moving in the moving frame of reference, this is  
368 because the information about those gliders is no longer in the observer's frame of reference but can  
369 now be contributed to the observer by the neighboring sources. To understand these processes in more  
370 detail however, we consider the various sources of that transfer via the transfer entropy measurements in  
371 Fig. 3(c)-Fig. 3(f).

372 First, we focus on the vertical gliders which were stationary in the stationary frame of reference (i.e.  
373 the blinkers): we had expected that these entities would be captured as information transfer processes in  
374 the  $j = 0$  (vertical) channel in the  $j = 1$  moving frame. This expectation is true, but the dynamics  
375 are more complicated than the foreseen in our hypothesis. Here, we see that the apparent transfer  
376 entropy from the  $j = 0$  source alone does not dominate the dynamics for this vertical glider (Fig. 3(c)).  
377 Instead, the information transfer required to explain the vertical gliders is generally a combination of  
378 both apparent and complete transfer entropy measures, requiring the  $j = -1$  source for interpretation  
379 as well. The full information may be accounted for by either taking Fig. 3(c) plus Fig. 3(f) or Fig. 3(e)  
380 plus Fig. 3(d) (as per the two different orders of considering sources to sum the invariant information  
381 in Eq. (38)). Further, we note that some of the points within the glider are even considered as strong  
382 information storage processes - note how there are positive storage points amongst the negative points  
383 (skewed by the moving frame) for this glider in Fig. 3(b). These vertical gliders are thus observed  
384 in this frame of reference to be a complex structure consisting of some information storage, as well  
385 as information transfer requiring both other sources for interpretation. This is a perfectly valid result,  
386 demonstrating that switching frames of reference does not lead to the simple one-to-one correspondence  
387 between individual information dynamics that one may naively expect.

388 We note a similar result for the left-moving gliders in the  $j = -1$  channel, which are considering  
389 moving both in the stationary and  $j = 1$  frames of reference: here we see that the complete transfer  
390 entropy from the  $j = 0$  source (Fig. 3(d)) is required to completely explain some of these gliders. What  
391 is interesting is that the (extra) complete transfer entropy from the  $j = 0$  source orthogonal to the glider  
392 is a greater proportion here than for orthogonal sources in the stationary frame (see the complete transfer  
393 entropy for the right moving gliders in Fig. 2(d)). This suggests that there was less information pertinent  
394 to these gliders in the moving frame of reference than there was in the stationary frame. Clearly, a  
395 change of frame of reference can lead to complicated interplays between the information dynamics in  
396 each frame, with changes in both the *magnitude* and *source attribution* of the information.

397 Finally, note that one can easily write down the differences between the measures in each frame  
398 (e.g. subtracting Eq. (11) from Eq. (34)), however do not appear to be any clear general principals  
399 regarding how the information will be redistributed between storage and transfers for an observer, since  
400 this depends on the common information between each frame of reference.

## 401 7. Conclusion

402 In this paper, we have presented a new interpretation of a framework for local information dynamics  
403 (including transfer entropy), which incorporates a moving *frame of reference* for the observer. This  
404 interpretation was inspired by the idea of investigating relativistic effects on information dynamics, and  
405 indeed contributes some interesting perspectives to this field.

406 We reported the results from investigations to explore this perspective applied to cellular automata,  
407 showing that moving elements of coherent spatiotemporal structure (particles or gliders) are identified  
408 as information transfer in frames in which they are moving, and information storage in frames where  
409 they are stationary, as expected. Crucially, the extent to which the shifted frame of reference alters the  
410 results depends on whether the shift of frame *retains, adds or removes* relevant information regarding  
411 the source-destination interaction. We showed examples illustrating each of these scenarios, and it is  
412 important to note that we showed all three to occur at different local points in the same coupled system  
413 (i.e. these differences are not mutually exclusive).

414 Future work may include exploring mathematically formalizing transformation laws between  
415 individual information dynamics under shifts of frames of reference, as well as time-reversibility, and  
416 the use of different frames of reference as a classification tool.

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**Figure 3.** Measures of local information dynamics applied to ECA rule 54, computed in frame of reference  $f = 1$ , i.e. moving 1 cell to the right per unit time (all units in (b)-(f) are in bits). Note that raw states are the same as in Fig. 2.

